

**Re**

**IN THE MATTER OF:**

**The Investment Dealer and Partially Consolidated Rules**

**OR the Mutual Fund Dealer Rules**

**of the Canadian Investment Regulatory Organization**

**and**

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**NOTICE OF MOTION**

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**NOTICE** is hereby given that a motion will be brought before a \_\_\_\_\_ Hearing Panel (the "Hearing Panel") of the Canadian Investment Regulatory Organization ("CIRO"), commencing on \_\_\_\_\_ at \_\_\_\_\_, at \_\_\_\_\_ or as soon thereafter as the motion can be heard.

**NOTICE** is further given that it is proposed that the motion be conducted as an hearing.

**THE MOTION** is for:

1.

**THE GROUNDS** for the motion are:

1.

**THE FOLLOWING** evidence and materials will be relied upon at the hearing of the motion:

1.

**DATED** at \_\_\_\_\_, \_\_\_\_\_, this \_\_\_\_\_ day of \_\_\_\_\_ 20 \_\_\_\_\_.

Telephone:

Email: