



Appendix A – Text of the Proposed Amendments

If the Proposed Amendments are implemented before the adoption of the proposed plain language rewrite of the Dealer Member Rules, the Dealer Member Rules are hereby amended as follows:

1. Row 14 of subsection 2.4(c) of Dealer Member Rule 2800C is amended by:
 - a. Deleting “Institutional Customer”
 - b. Adding “customer supervised as an Institutional Customer” after “The LEI of the”.
2. Row 15 of subsection 2.4(c) of Dealer Member Rule 2800C is amended by:
 - a. Deleting “Retail Customer”
 - b. Adding “customer supervised as a Retail Customer” after “The account number of the”.

If the Proposed Amendments are implemented after the adoption of the proposed plain language rewrite of the Dealer Member Rules, the proposed plain language rewrite of the Dealer Member Rules are hereby amended as follows:

1. Row 14 of subsection 7203(6) is amended by:
 - a. Adding “client supervised as an” before “*institutional client*”.
2. Row 15 of subsection 7203(6) is amended by:
 - a. Adding “client supervised as a” before “*retail client*”.